Animal Welfare Committee

Meredith L. Moore, PhD
Manager, Research Compliance
AWC Research Compliance

- Ensure that all research meets or exceeds federal regulations
  - >450 projects for 165 faculty
  - 6-12% of UT Faculty work with animals
  - 98% are mice, rats and fish

- Inspect all buildings and rooms where animals are housed or studied

- Investigate and resolve any reported concerns
AWC Approval Not Required

- Research Studies involving invertebrates

- Research Studies performed with previously collected tissues if the following two conditions apply
  - no experimental procedures before euthanasia
  - euthanasia is not for the sole purpose of collecting tissues

- Purchase of an antibody or product produced by an animal that is part of a company’s catalogue
AWC Approval Required

- Research Studies involving vertebrate animals conducted at UTHealth regardless of funding source
- Research Studies performed with previously collected tissues when additional experimental procedures are performed before euthanasia
- Purchase of an antibody or product produced by an animal when the work would only be initiated by a unique request from the PI
- Studies involving animals that are funded by UTHealth funds but performed at another institution
  - Umbrella Protocol
Adding a Grant Title

- The AWC Office performs the grant congruency review required by NIH grant policy

- If all of the proposed experiments are included in the animal research protocol, the title can be added same day

- If proposed work is missing from the protocol, the missing pieces will need to be added by change request
  - Minor changes may take 11-19 days to process
  - Significant changes may require 30-40 days
Time Line

- Protocols are submitted on the first business day of the month

- Protocol Approvals
  - 26% approved within 24 days of submission
  - 43% approved within 38 days of submission

- Umbrella Protocols may be approved within 3 days
Contact Information

- Meredith Moore  713-500-3545
- Meredith.l.moore@uth.tmc.edu
- AWC Office, 1870 UCT
- AWC Website
  - http://www.uthouston.edu/animal-research/awc.htm
Regulations and Guidance

- The Guide for the Care and Use of Laboratory Animals
  - 1963, seven revisions
  - Veterinarian determined standards of care

- Animal Welfare Act 1966
  - Requirements for housing, transport and care

- PHS Policy, Health Research Extension Act 1985
  - Established the IACUC
  - Expanded the species covered
HOOP 95: Research Training

Deanne Hernandez, MPA

Director of Operations

Office of the Executive Vice President for Academic & Research Affairs (ARA)
HOOP 95: Recent Changes

Investigator Briefing in the Responsible Conduct of Research

1. Old language
   ✦ Required every three years of all PIs and Co-PIs

2. New language
   ✦ Still covers PIs and Co-PIs
   ✦ Training is due upon notice of initial funding award or transfer in of an existing sponsored project from another institution
   ✦ Renewals no longer required, but will need to “catch” up currently funded investigators who have never received this training
   ✦ Dr. Stancel will provide training sessions upon request 713.500.3082
Investigator Briefing in the Responsible Conduct of Research

1. New process
   - ARA will continue to maintain the roster of investigators who have completed the training, which is now available via the ARA web site (see next slide)
   - As currently occurs, either OSP or department sponsored project administrators will notify ARA (Deanne) if training is required
   - Deanne will send an email to the investigator(s) directing them to the Investigator Briefing web site
   - Investigators must review the files and submit the attestation form online in order to be listed on the roster as having completed the training
HOOP 95: Recent Changes

Investigator Responsibility Briefing
Offered by the Office of the Executive Vice President for Academic and Research Affairs

In accordance with HOOP 95 Research Training, the Office of Academic and Research Affairs has prepared the following information to help you understand federal, state, and institutional policies and requirements related to the responsible conduct of research.

At UTHealth our premise is that investigators appreciate and respect the need for the responsible conduct of research and want to conduct their investigations in such a manner. Consequently, the primary purpose of the following material is twofold: (1) to help our investigators understand their responsibilities, and (2) to provide information and sources of support to assist them.

Please review the following four (4) files before completing the form below. By submitting the form, you are attesting that you have reviewed and understand the materials provided and will maintain compliance with federal, state and institutional policies related to the conduct of research. Failure to do so may result in delays with sponsored project account set-up.

Please contact Deanne Hernandez via email or by calling 713.500.3192 if you have questions or experience difficulty accessing the files.

New Investigator Briefing: A Roadmap to Support UTHealth Investigators in the Responsible Conduct of Research

Introduction to the Responsible Conduct of Research (Steneck, 2007), Office of Research Integrity

UTHealth Research Policies

Who to Turn To: Institutional Leaders Responsible for Research Oversight and Support

Please fill out the form below and click "Submit" in order to complete this required training. By doing so, you attest that you have downloaded and reviewed the training documents and will comply with the materials presented. Thank you!
FY13 Awards & Proposals

Jodi S. Ogden, MBA, CRA
Assistant Vice President
Sponsored Projects Administration
Sponsored Projects Administration

Systems & Reporting Overview

Systems & Reporting provides information and guidance with grant submission and management systems (electronic research administration) used at UTHealth, such as Cayuse424 and eRA Commons as well as data on University proposals and awards.

SPAR, the Sponsored Projects Activity Report database, is the OSP internal database that maintains all pre-award sponsored research activity. SPAR data is used to generate reports on sponsored projects activity and provides important historical and projection measurement data for The UT System and for UTHealth including the fiscal year published Factbook.

The Systems & Reporting Team provides reports on awards received and proposals submitted by UTHealth. The reports can be found on the Sponsored Projects Administration website under Reporting. This information is for internal purposes. A UTHealth username and login will be required if accessing from an external location.

Reporting includes statistics on applications and awards. Below in Chart 1 is data from NIH showing the number of competing applications, awards and success rates by fiscal year. This data does not include awards made under the American Recovery and Reinvestment Act of 2009 (ARRA). Chart 2 replicates Chart 1 by showing UTHealth’s NIH competing applications, awards and success rates (this chart does not include ARRA funding). Chart 3 shows UTHealth’s NIH competing applications, awards and success rates (this chart includes ARRA funding).
New Federal Notice

NOT-OD-13-112

NIH Domestic Awards to Transition to Payment Management System Subaccounts in FY 2014 (NOT-OD-13-112) National Institutes of Health

While this notice addresses NIH only, this is a HHS directive, so is applicable to all federal agencies.
What this notice means for PIs and Administrators

- Each new award awarded 10/1/13 or later will be setup with the new fund 50032 (DHHS/PHS)

- Each continuation year awarded 10/1/13 or after will require a new project setup with fund 50032
What this notice means for PIs/Administrators (con’t)

• The new project setup means:
  • All project PAs must be modified
  • All project POs must be modified
  • All project subcontracts must be modified
  • All project encumbrances must be cleared within 60 days (FFR is due within 90 days)
  • Effort Reports will reflect multiple related projects during the transition period
What this notice means for PIs/Administrators (con’t)

• The previous year’s project must be cleared of encumbrances and spent to final report amounts within 90 days or the expense will NOT be applicable to any year (Note: large purchases may require special discussion).

• Subcontractors should be urged to get their final billings in ASAP.

• A Final FFR is required for ALL continuations, including SNAP.

• Carryover is not automatic, but contingent upon review/approval by OFM of the final FFR. This could take time.
What this notice means to PAF and OSP

• All new & continuation year awards will require a new project setup using fund 50032.

• All federal awards will require a final FFR from 10/1/13 forward.

• Two (2) federal drawdowns will be required twice a month: one in the old system, one in the new.

• New subcontracts will have to be drawn up for each subcontractor.

• PA reviews will increase.
What this notice means to PAF/OSP (con’t)

- Effort review will increase.
- Refunds or late charges received will be impacted.
- Carryover will not come via a NOGA, but will have to be tracked by PAF in the federal Payment Management System to determine when complete.

Still unknown:

Impact on Statements of Appointment and related costs, as they cross grant years.
Next AURA Meeting:
December 4, 2013