AURA Meeting

September 23, 2015
Welcome

Heather Cody, MHA
Supervisor, Clinical Research Finance
Housekeeping Items

ITV Groups
Please mute microphones

Presenters
Repeat questions in mic
Website Spotlight

Troy Roberson
Systems & Reporting Specialist
Sponsored Projects Administration

Overview
Sponsored Projects Administration (SPA), as part of Finance and Business Services, supports UTHealth faculty, staff, and students in the acquisition and administration of programs funded from sources outside the University. SPA is the central point of coordination and tracking for sponsored projects and is UTHealth's authorized representative for grants, contracts, and other agreements from government agencies, private industry, and non-profit foundations.

SPA is comprised of the Grants Team, the Contracts Team, Post-Award Finance, Systems & Reporting, and Clinical Research Finance. SPA guides faculty and administrators in completing external applications; reviews and approves sponsored project budgets and applications; negotiates terms for government and non-profit grants; cooperative agreements; contracts, and subcontracts; establishes accounts and sets up budgets; processes budget revisions, no-cost extensions requests, and grant transfers; provides assistance with external online grants/contracts systems; and negotiates the University's federal indirect cost rate.

News
April 06, 2015 - More Data on Age and the Workforce
April 06, 2015 - Give Input on Strategies for Optimizing the Impact and Sustainability of Biomedical Research
April 03, 2015 - Uniform Guidance Training Program
April 03, 2015 - Weekly NIH Funding Opportunities & Notices
March 26, 2015 - Clinical trial recruitment using social media is growing

Older News ->
Updates

Heather Cody, MHA
Supervisor, Clinical Research Finance
Clinical Trial Account Closeouts

- Effective 9/1/15 Clinical Trial Closeouts will be to a residual balance accounts

- Closeouts to gift accounts are not longer allowed
Clinical Research Finance (CRF) Team selects Clinical Trial to monitor

Analysis of Clinical Trial

Presentation of Findings

Billing Finding Resolution

Process Finding Resolution

Training

Presentation to Executive Compliance Committee (ECC)
CRF Team will review & analyze the protocol, ICF, contract, budget, CA, patient logs, EG & MHH statements, patient bills/insurance claims, & sponsor payments to ensure clinical research billing compliance.

If we identify issues will we work with department administration to address necessary resolutions, and provide training and process improvement recommendations.
Updates

Christopher Denman
Assistant Director, Contracts
Sponsored Projects Administration
UTHealth ClinCard

- Preferred method for issuing payments and reimbursements to research study participants
- Works like a debit/credit card: *get cash from ATM, shop in stores/online, cash out at bank*
- All happens via a web portal: *S&R sets up the study; you control the payments*
- Patients have immediate access to funds
Beginning September 1, 2016, mandatory use of ClinCards or gift cards / no petty cash
ClinCard guidelines and demos coming soon
Form will be included in Clinical Trial Budget Tool; costs will roll up into per patient costs
$3.50 per card plus $1.00 per payment
Start including ClinCards in study budgets and negotiations with sponsors now
Example of $20 patient payment:
- 1\textsuperscript{st} visit = $24.50 = $20.00 + $3.50 + $1.00
- Subsequent visits = $21.00 = $20.00 + $1.00
Updates

Amaris Ogu, MBA
Supervisor, Systems & Reporting
Sponsored Projects Administration
Systems & Reporting has a new email mailbox!

Please send all Systems & Reporting inquiries and request to SystemsReporting@uth.tmc.edu

Please continue to send all effort related emails to effort@uth.tmc.edu
PAF Updates

Victoria Briscoe
Asst. Director, Post Award Finance
Sponsored Projects Administration
Final Remarks

Jodi S. Ogden, MBA, CRA
Associate Vice President
Sponsored Projects Administration
## FY15 At-A-Glance: Proposals & Awards

### FY Proposals Submitted

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### Proposals Submitted/Proposed Funding

- **Proposed Funding**
  - FY13: $1,400M
  - FY14: $1,011M
  - FY15: $804M
  - Total: $3,215M
  - $886M is proposed for FY15

### FY # of Awards

- **FY13**: $191M
  - 17.85M State
  - 28.92M Private
- **FY14**: $222M
  - 175.46M Federal
- **FY15**: $212M
  - 153.32M Federal

### FY Award by Fund Source

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FY15: At-A-Glance: Contracts

Contracts, Award Type

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FY15 At- A Glance: Post Award Finance

**Budget Transactions Reviewed/Approved**
- FY14: 14,199
- FY15: 13,502
- Grand Total: 27,701

**Financial Reports - ARRA**
- FY14: 22
- FY15: 0
- Grand Total: 22

**Financial Reports - Standard**
- FY14: 1,414
- FY15: 1,439
- Grand Total: 2,853

**Lockbox Batches Reviewed**
- FY14: 1,102
- FY15: 919
- Grand Total: 2,021

**New Project Setups**
- FY14: 558
- FY15: 612
- Grand Total: 1,170

**Non PO Voucher**
- FY14: 7,892
- FY15: 7,337
- Grand Total: 15,229

**Non Project Setups**
- FY14: 98
- FY15: 1
- Grand Total: 99

**Other Project Setups**
- FY14: 101
- FY15: 2
- Grand Total: 103

**Personnel Actions Reviewed**
- FY14: 10,221
- FY15: 10,501
- Grand Total: 20,722

**Renewal Project Setups**
- FY14: 1,171
- FY15: 1,312
- Grand Total: 2,483

**Grand Total**
- FY14: 36,778
- FY15: 35,625
- Grand Total: 72,403
# FY15 At-A-Glance: Billing Risk & Coverage Analysis

## # of Billing Risk Assessments Performed

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## Billing Risk Assessments Performed, School

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## # of CA's reviewed, Month

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The University of Texas Health Science Center at Houston

Sponsored Projects Administration
Next Meeting: November, 2015

Presentations & schedule posted at: go.uth.edu/AURA