



Step by Step Process: Budgeting



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Introduction

Background

This document details the budgeting process for proposals, grant awards and monetary agreements in the Proposal Development and Proposal Tracking modules of the START system. The budgets are built (proposed and awarded) in these modules and are confirmed in the Award Tracking module before it is published to Financial Tracking.

UTHealth requires a detailed budget for all grant proposals and monetary agreements regardless of sponsor requirements.

Comments, Assumptions, and Caveats

- Note that the screenshots in this document may not exactly match what you see. This may be due to a variety of reasons: software updates subsequent to the shots being taken, differing browsers and/or window sizes, the user having more or less security than assumed here, etc. Also, not all screenshots may have been taken of the same records and so may not be entirely consistent with one another.

The Basics

Budgeting for grants and monetary agreements may involve interacting with multiple tabs in the Navigation Panel at the left of the screen. (Note that not all users will have access to all tabs on all proposals.) However, the large majority of work will take place on the “home” Budget page, shown below.

This section lays out instructions for entering a detailed budget. Specific budgeting variations such as modular and multi-project budgets are included in the Details section.

Budget Page Layout

Source View: Each budget contains a Sponsor budget, a Cost share budget, Unallowable costs (such as over the federal salary cap) and a Project budget which is the sum of all three. You can select the budget view by selection from the Source view dropdown. The Mode indicator (green rectangle) shows whether or not the user can make changes to the budget. Only when the Mode is “edit” will this be allowed.

Rollup Subprojects: allows you to see total budget for multi-project proposals (Roll up) or to view each component separately (not-rollup).

The screenshot shows the Budget Page Layout with the following sections and tables:

- Navigation Panel (Left):** Budget, Period 1, F&A, Cost Sharing, Period 2, Modular Budget, F&A, Cost Sharing, Justifications, Versions, Setup.
- Project Period:** 01-Jun-2019 to 31-May-2021
- Source View:** Sponsor
- Rollup subprojects:** Not Rollup
- Buttons:** Edit Mode, Proposal Structure, Import/Export, Build PDF, Complete Budget
- Budget Summary [Hide]:**

YEAR/PERIOD	INCREMENT	START	END	TYPE	STATUS	Sponsor [show]	Cost Sharing [show]	Project [hide]
1	1	01-Jun-2019	31-May-2020	Funding*	Proposed	-	-	-
2	2	01-Jun-2020	31-May-2021	Funding*	Proposed	-	-	-
Total:						\$ 0	\$ 0	\$ 0
- Subproject Summary [Show]:**
 - Personnel [Hide]:**

NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
Admin, InfoEd PD/PI	-	-	\$ 0
Subtotal Personnel:			\$ 0
 - Non-Personnel [hide]:**

CATEGORY	PERIOD 1	PERIOD 2	DIRECT COSTS
No records to display.			
Subtotal Non-Personnel:			\$ 0
 - SubAwards [hide]:**

INST/CONTRACTOR NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
No records to display.			
Subtotal SubAwards:			\$ 0
- Subprojects [show]:**

	PERIOD 1	PERIOD 2	TOTAL COSTS
Modular Budget Transaction:	\$ 0	\$ 0	\$ 0
Total Sponsor Direct Costs:	-	-	\$ 0
Sponsor F&A:	0	0	0
Total Sponsor Costs:	\$ 0	\$ 0	\$ 0

The selection of Source View determines what shows up in areas 2, 3, and 4. Area 1 is not affected by the Source View. Area 1 is view only and shows the current total project budget.

Areas 2, 3, and 4 are used to enter direct costs for personnel, everything **except** personnel and subawards, and subawards themselves, respectively.

Note the highlighted “show/hide” toggles. These allow you to adjust the amount of detail being shown at any one time. They are reset upon leaving the page. See *Details: Show/Hide*.

Entering Personnel Budgets

All individuals added on the personnel tab automatically appear in the personnel section of the budget. UHealth has interfaced the START system to the PeopleSoft Human Resources and Payroll system. This means that current salaries (and fringe benefits) for all employees will be pulled into the budget based on the appointment selected.

For Industry Sponsored Clinical Trials and all industry agreements; follow the instructions for entering personnel and effort and use the total compensation appointment.

To add or modify a personnel **budget**, click the **DETAIL** button next to the person to open budget details.

	NAME	PERIOD 1	PERIOD 2	DIRECT COSTS	
Key Personnel					
<input type="button" value="Detail"/>	Investigator, Mark PD/PI	-	-	\$ 0	
<input type="button" value="Detail"/>	Investigator, Charlie Co-Investigator	-	-	0	<input type="button" value="Remove"/>
<input type="button" value="Detail"/>	Investigator, William Co-Investigator	-	-	0	<input type="button" value="Remove"/>

Click on the appointments tab. There are generally two appointments for each employee; base and total.

Base appointment is the institutional base salary. This is used for all federal and not-for-profit grant proposals and contracts.

Total appointment is the all-inclusive total compensation for the employee and is used for all agreements with for-profit companies.

Budget Detail for: Investigator, Mark

Salary/Payroll Information

APPOINTMENT	APPOINTMENT START/END	BASE SALARY	FRINGE BENEFITS	NET SALARY	PRIMARY	DELETE
Type: <input style="border: 2px solid red;" type="text" value="Base"/>	S: 01-Sep-2019 E: 31-Aug-2020	85,000 Per Appt	Employee Amount: 0	85,000	<input checked="" type="radio"/>	<input type="button" value="Delete"/>
Type: <input style="border: 2px solid red;" type="text" value="Total"/>	S: 01-Sep-2019 E: 31-Aug-2020	87,000 Per Appt	Employee Amount: 0	87,000	<input type="radio"/>	<input style="border: 2px solid red;" type="button" value="Delete"/>
Total:				172,000		

You must delete whichever appointment you are not using for the proposal by clicking the blue arrow in the delete column. Click Save. Then click on the Detail tab.

Budget Detail for: Investigator, Mark

Costs by Budget Period Person Months ▾

PERIOD	ROLE	BASE SALARY	CALENDAR	ACADEMIC	SUMMER	SALARY	FRINGE BENEFIT	TOTAL
1	PD/PI	85,000	2.40	0.00	0.00	17,000	Employee 4,590	\$ 21,590
2	PD/PI	85,000	2.40	0.00	0.00	17,000	Employee 4,590	21,590
Total						\$ 34,000	\$ 9,180	\$ 43,180

**Click Save to calculate salary and fringe benefits. This is required because appointment data is being used.

Enter the effort/calendar months for each of the budget years. You can toggle between effort and calendar months by clicking on the Person Months drop down.) Salary and Fringe benefits will auto-calculate. Click Save and Close to return to the budget page. Repeat for each person listed.

Budget Edit Mode

Project Period: Aug-2019 to 31-Jul-2021 Source View: Sponsor Rollup subprojects: Not Rollup

Budget Summary [Hide]

YEAR/PERIOD	INCREMENT	START	END	TYPE	STATUS	Sponsor [show] TOTAL	Cost Sharing [show] TOTAL	Project [hide] DIRECTS	F&A	TOTAL
1	1	01-Aug-2019	31-Jul-2020	Funding*	Proposed	-	-	-	-	-
2	2	01-Aug-2020	31-Jul-2021	Funding*	Proposed	-1	-	-	-1	-1
Total:						\$ -1	\$ 0	\$ 0	\$ -1	\$ -1

Subproject Summary [Show]

Personnel [Hide]

NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
Investigator, Mark PD/PI	\$ 21,590	\$ 21,590	\$ 43,180
Investigator, Charlie Co-Investigator	-	-	0
Investigator, William Co-Investigator	-	-	0

Note that the top level budget tab provides budget summary information only by period. Click the plus sign to open each budget period to see detail. Indirects and Cost Sharing (if applicable) is discussed below.

Additional personnel can be added here or on the full "Personnel" tab (outside of the Budget area). If you want to add them here, type in any part of the desired person's name or department (yellow) and then – once found – click the name to select it. Choose a Type and Role (green). Click ADD PERSON. You can now continue as above.

Personnel [Hide]

NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
Admin, InfoEd PD/PI	\$ 76,200	\$ 76,200	\$ 152,400
Subtotal Personnel:			
	\$ 76,200	\$ 76,200	\$ 152,400

Non-Personnel [hide] CATEGORY: No records to display
 Select Budget Category: * Un-mapped object
 SubAwards [show]

- Coleman, Jasmine - 134752 - Surgery (25928400)
- Cuellar, Migdalia Lizeth - 135351 - Primary Care at Smith Tower (25668535)
- Davis, Jasmine - 137966 - UTP Community Based Clinics (div)
- Davis, Jasmine - 137966 - UTP NW Houston Clinic DSRIP (26000112)
- Davis-Smith, Leslye - 137167 - SON, Undergraduate Studies (dept)
- Davis-Smith, Leslye - 137167 - Nursing Undergraduate Studies (79140000)
- DMO, Yasmine - T123655 - Training: SPH, Office of the Dean (dept)
- DMO, Yasmine - T123655 - Training: Office Of The Dean (67020000)
- Eipers-Smith, Ketti M - 124700 - Pediatrics, Childrens Learning Institute (CLI) (div)

If the person being sought does not exist (e.g., in the case of a “to be named” individual), click ADD NEW PROFILE, (Temporary Profile will auto-select.) enter any desired information (a last name and department are required, as indicated by the red asterisks) and click SAVE. (Note: a last name of “TBD,” “TBN,” “grad student,” etc, are all fine. Any department – including the top node – is also fine.) The “person” will now be listed just if s/he had been selected from a list and you can continue as above. You can also add a pending new-hire.

New Profile

Genius Profile Temporary Profile

Salutation

First Name

Middle Initial

* Last Name

Suffix

Title

Phone

Fax

Email

Address

City

State

Zip

Country

* Department

Employee ID

Save Close

YEAR/PERIOD INCREMENT

1	1
2	2

Subproject Summary [Show]

Personnel [Hide]

NAME
No records to display.

Add New Profile Begin typing

Non-Personnel [hide]

CATEGORY
No records to display.

Select Budget Category

* Un-mapped object codes will r

SubAwards [show]

Subprojects [show] Import as

Personnel [Hide]

NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
TBN, - The University of Texas Health Science Ce	\$ 76,200	\$ 76,200	\$ 152,400
Subtotal Personnel:			\$ 152,400

Add New Profile -- Select Type -- -- Select Role -- Add Person

Entering Non-personnel Budgets

Non-personnel direct costs are easy to enter. Click the budget category field. Scroll to locate and then click the desired category. UTHealth has mapped all Peoplesoft expense accounts and budget pools to sponsor budget categories

Non-Personnel [hide]

CATEGORY
No records to display.

Select Object Code Add Item

- Consultant Services
- Data Processing Services
- Equip & Computer Maintenance *
- Equipment or Facility Rental/User Fees
- Materials & Supplies**
- Office Space Off-campus *
- Participant Other
- Participant Travel

In addition to selecting the Expense category, you will also need to select the budget pool. In most cases, there is only one option for the budget pool due to the expense to pool mapping. Click Add Item.

Non-Personnel [hide]

CATEGORY

No records to display.

Materials & Supplies

* Un-mapped object codes will not reconcile into budget categories *

61006 - MAINTENANCE & OPERATION

Add Item

On the popup window, the Description will default to the budget category name but can be changed to reflect the purpose of the budget line. This is especially useful if you will be entering multiple lines for a single budget category. Enter the amount for the first year. Subsequent years will automatically populate with the same value, but these values can be overwritten or inflated. You can inflate out-years by either choosing a pre-defined value from the Annual Inflation dropdown or manually enter a value in the period's inflation field. Click SAVE or SAVE AND CLOSE. Do not enter inflation for NIH proposals.

Non-Personnel Costs

Detail Justifications Cost Sharing

Show Calculations Save and Close Save Close

Costs by "Budget Period" Annual Inflation Manual Entry Description Materials & Supplies

Budget Category Materials & Supplies Object Code -

PERIOD	START DATE	END DATE	TOTAL
1	01-Aug-2019	31-Jul-2020	0
2	01-Aug-2020	31-Jul-2021	0

Total \$ 0

To change a previously entered value, click its DETAIL button (yellow). To add multiple lines at a time, click ADD BULK ENTRY (green; the same data entry fields are available).

Non-Personnel [hide]

Add Bulk Entry

DETAIL	CATEGORY	PERIOD 1	PERIOD 2	DIRECT COSTS
Detail	Data Processing Services 61006 - MAINTENANCE & OPERATION	\$ 14,000	\$ 14,420	\$ 28,420
Subtotal Non-Personnel:		\$ 14,000	\$ 14,420	\$ 28,420

Select Budget Category Select Object Code Add Item

* Un-mapped object codes will not reconcile into budget categories *

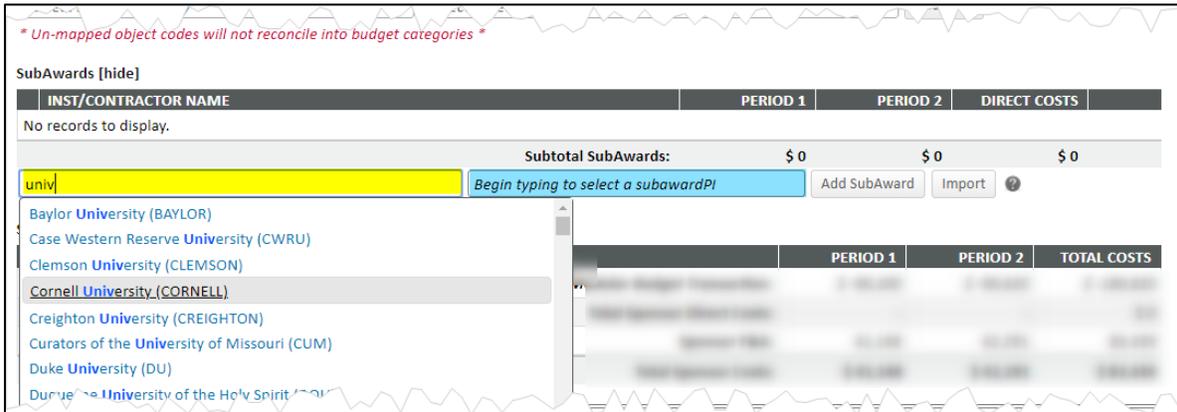
Sub Awards [show]

For **Industry Sponsored Clinical Trial** Budgets, enter the balance of Direct Costs as one amount in the "Other" Category. (Total direct costs – salaries and fringe = direct cost balance). Indirect costs will calculate automatically. Attach the completed Coverage Analysis and Budget Tool (excel) in the attachments tab.

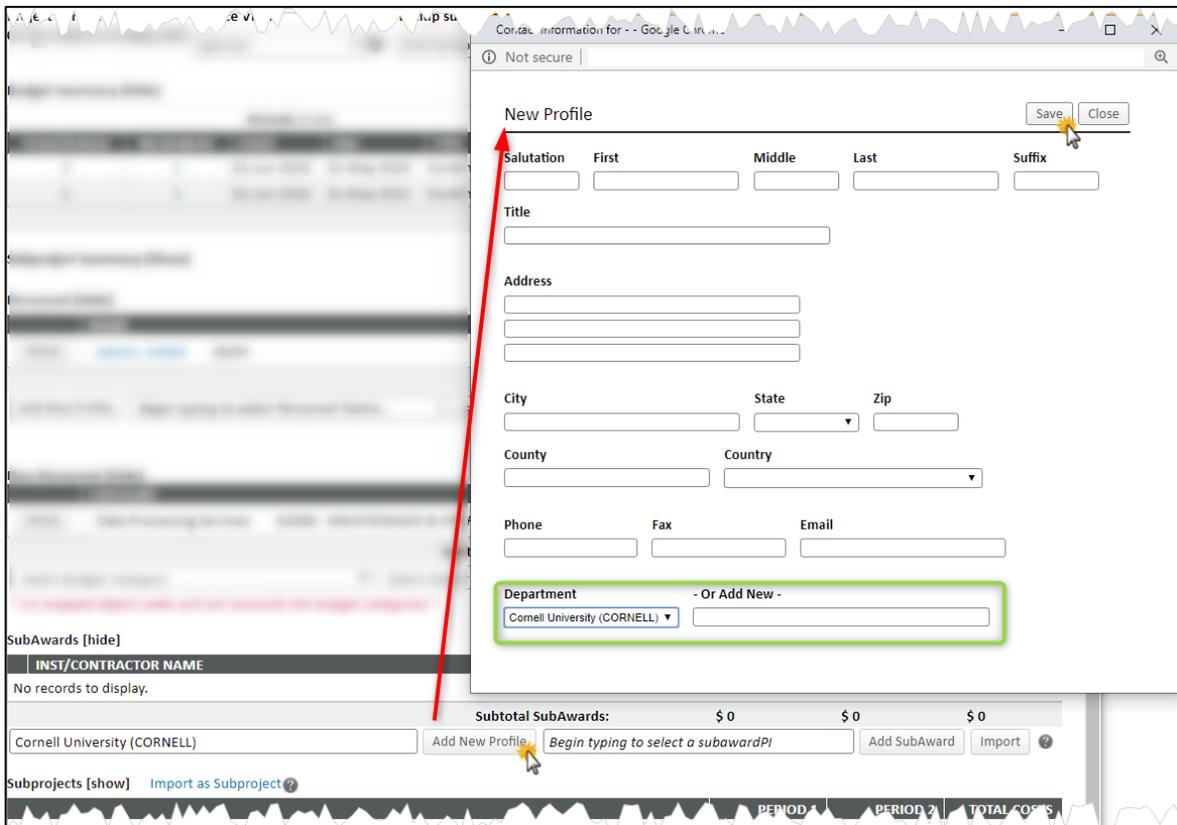
Entering Subaward Budgets

Note: if your subrecipient sent you an Adobe package subrecipient budget form, you do not need to rekey that information. It can be imported. See *Details: Importing/Exporting*

To add a new subaward manually, click the institution name box (yellow) and type in any part of the desired institution's name and then – once found – click the name to select it. Then, click the subaward PI box (blue) and type in any part of the PI's name and – if found – click the name to select it.



If the person is not found, click the ADD NEW PROFILE button instead. (This button only appears after choosing the institution.) Record as much information as you have available (much of this is required if you are submitting to Grants.Gov; it can be updated later, if necessary), including either choosing an existing depart or keying in a new one (this is a SMART system data requirement more than a sponsor requirement, so perfect accuracy is not needed). Click SAVE.



Both the subrecipient institution name and sub PI name should be listed. Click ADD SUBAWARD. A popup window will appear with the automatic subaward number and name for this subaward. **You must update the Subaward Title to include the sponsor name abbreviation followed by the project title.**

Mayo Clinic Arizona (MAYOAZ)

SubAward Number:
0000000109

SubAward Title:
NIH-test data collection form UAT2

Add To Period

Save Close

Add To

Budget Period 1

Budget Period 2

The subrecipient will be automatically added to all budget periods. If you wish to adjust this, Click ADD TO PERIOD to unselect specific budget periods. (Note, on the subaward budget, you will need to enter zeros for years in which the subrecipient will not be included on the project. Click SAVE.

The subaward will now appear as a budget line, with a pre-determined pool budget (green). To add or change its budget, click the subrecipient name. (Other information will appear in a small box prior to clicking; it is not vital to the budgeting process.)

* Un-mapped object codes will not reconcile into budget categories *

SubAwards [Hide]

INST/CONTRACTOR NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
Cornell University (CORNE) 61014 - RESEARCH SUBCONTRACT F-PAYMNTS	-	-	\$ 0
Subtotal SubAwards:			\$ 0

Begin typing to select a Subaward Institution name Begin typing to select a subawardPI Add SubAward Import

Subprojects [show] Import as Subproject

The subaward budgeting page is very similar to the main proposal budget that you have been working on, with the subaward PI as the sole person (to start). Budgets can be entered in the same as on the main page, including the identification of “sub-subawards.” Note the “subaward” watermark in the background.

Budget Project Period: 01-Jun-2019 to 31-May-2021 Source View: Sponsor

Budget Summary [Hide]

YEAR/PERIOD	INCREMENT	Periods [hide]		TYPE	STATUS	Sponsor [show]		Cost Sharing [show]		Project [hide]	
		START	END			TOTAL	TOTAL	DIRECTS	F&A	TOTAL	
1	1	01-Jun-2019	31-May-2020	Funding*	Proposed	-	-	-	-	-	-
2	2	01-Jun-2020	31-May-2021	Funding*	Proposed	-	-	-	-	-	-
Total:						\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

Subproject Summary [Show]

Personnel [Hide]

NAME	PERIOD 1	PERIOD 2	DIRECT COSTS
Andersen, William SubAward PI	-	-	\$ 0
Subtotal Personnel:			\$ 0

The biggest difference between the main budget page the subaward budget page is the necessity to explicitly record F&A/indirect costs on the subaward (yellow). Indirects on the main budget are entered on a separate F&A page.

* Un-mapped object codes will not reconcile into budget categories *

SubAwards [show]

	PERIOD 1	PERIOD 2	TOTAL COSTS
Total Sponsor Direct Costs:	-	-	\$ 0
Sponsor F&A:	0	0	0
Total Sponsor Costs:	\$ 0	\$ 0	\$ 0

F&A Breakdown

PERIOD	START DATE	END DATE	INDIRECT COST TYPE	RATE	BASE	AMOUNT	
1	01-Jun-2019	31-May-2020		0.000	0	0	Clear
2	01-Jun-2020	31-May-2021		0.000	0	0	Clear
Total					\$ 0	\$ 0	

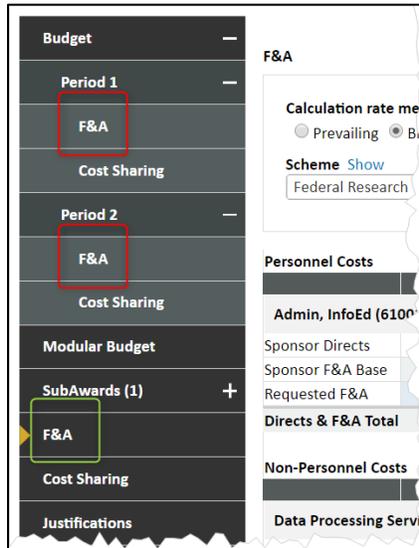
Entering Justifications

When justifications are required by the sponsor, they can be entered in a couple ways. By far, the more common approach is to use the Justifications page to select a file from your computer (yellow) and UPLOAD it (blue). Uploads can be in any file format, .pdf and .doc files being the most common. (If the file is not in .pdf format, it will automatically be converted.) For another approach, see *Details > Justifications*.

Budget +	<p>Justifications</p> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p style="background-color: #333; color: white; padding: 2px;">UPLOAD "PERSONNEL JUSTIFICATION" DOCUMENTATION</p> <p>Choose File No file chosen</p> <p style="text-align: right; color: #00aaff;">Upload</p> </div> <div style="border: 1px solid #ccc; padding: 5px; margin-bottom: 5px;"> <p style="background-color: #333; color: white; padding: 2px;">UPLOAD "CONSORTIUM JUSTIFICATION" DOCUMENTATION</p> <p>Choose File No file chosen</p> <p style="text-align: right; color: #00aaff;">Upload</p> </div> <div style="border: 1px solid #ccc; padding: 5px;"> <p style="background-color: #333; color: white; padding: 2px;">UPLOAD "ADDITIONAL NARRATIVE JUSTIFICATION" DOCUMENTATION</p> <p>Choose File No file chosen</p> <p style="text-align: right; color: #00aaff;">Upload</p> </div>
Modular Budget	
F&A	
Cost Sharing	
▶ Justifications	
Versions	
Setup	

Entering Indirect Costs

(For much more detail, see also *Details: F&A*.) Indirect costs (F&A) can be addressed at the period level (red) but is much more commonly addressed at the proposal level (green).



The full F&A page is quite long. Most users, however, only need to be concerned with the part within the green box. An F&A scheme is a pre-defined base (TDC, MTDC) and rate combination. The default scheme (yellow) defaults from the “target” and populates the base and rate. Any of the three can be changed, as needed.

[Edit Mode](#)

F&A Source View: Sponsor

Calculation rate method
 Prevailing Blended

Institution Base/Target Scheme Federal Research [Show](#)

Scheme [Show](#) Federal Research Base [Show](#) MTDC Rate Federal Negotiated Rate Effective 54,748

Personnel Costs

	PERIOD 1	PERIOD 2	TOTAL
Admin, InfoEd (61001 - FACULTY SALARIES)			
Sponsor Directs	76,200	76,200	152,400
Sponsor F&A Base	76,200	76,200	152,400
Requested F&A	41,148	42,291	83,439
Directs & F&A Total	\$ 117,348	\$ 118,491	\$ 235,839

Non-Personnel Costs

	PERIOD 1	PERIOD 2	TOTAL
Data Processing Services (61006 - MAINTENANCE & OPERATION)			
Sponsor Directs	14,000	14,420	28,420
Sponsor F&A Base	0	0	0
Requested F&A	0	0	0
Modular Budget Transaction (61006 - MAINTENANCE & OPERATION)			
Sponsor Directs	9,800	9,380	19,180
Sponsor F&A Base	9,800	9,380	19,180
Requested F&A	5,292	5,206	10,498
Directs & F&A Total	\$ 29,092	\$ 29,006	\$ 58,098

SubAwards

	PERIOD 1	PERIOD 2	TOTAL
Cornell University (CORNELL) (61014 - RESEARCH SUBCONTRACT F-PAYMNTS)			
Sponsor Directs	0	0	0
Sponsor F&A Base	0	0	0
Requested F&A	0	0	0
Directs & F&A Total	\$ 0	\$ 0	\$ 0

	PERIOD 1	PERIOD 2	TOTAL
Direct Cost Totals	\$ 100,000	\$ 100,000	\$ 200,000
F&A Base Totals**	\$ 86,000	\$ 85,580	\$ 171,580
F&A Totals	\$ 46,440	\$ 47,497	\$ 93,937
Grand Totals	\$ 146,440	\$ 147,497	\$ 293,937

F&A Breakdown

PERIOD	START DATE	END DATE	INDIRECT COST TYPE	RATE	BASE	AMOUNT
1 Detail	01-Jun-2019	31-May-2020	MTDC	54.000	86,000	46,440
2 Detail	01-Jun-2020	31-Aug-2020	MTDC	54.000	21,395	11,553
Detail	01-Sep-2020	31-May-2021	MTDC	56.000	64,185	35,944

If the desired rate is not among the pre-defined ones in the rate dropdown, the first value in the Rate dropdown, *Manual Entry*, displays a new box (yellow) for entry of any numeric rate. After any change, click APPLY to force the re-computation. You can also click MANUAL F&A to apply costs at a line-by-line basis. **Note:** The Effective rate value is not necessarily the rate being applied; rather it results in the calculation of indirect costs divided by base.

Calculation rate method
 Prevailing Blended

Institution Base/Target Scheme Federal Research [Show](#)

Scheme Federal Research

Base [Show](#) MTDC Rate Manual Entry 54.748 Effective 54.748

Finishing Up

As mentioned, this section only addresses entering the most common aspects of budgets. These will generally constitute the large majority of your budget work. However, it may also be necessary to handle cost sharing, modular budgets, etc. These are all described in the *Details* section below.

When your budget has been finalized, return to the main Budget page and click COMPLETE BUDGET. This button only exists in Proposal Development. Clicking this button has two main purposes: (1) like other PD “complete” buttons/boxes, it flags completion by changing the page (and all other budget pages) from Edit to View Mode (green) and displaying a checkmark in the navigation panel and (2) “publishes” (pushes) the budget (and personnel) pages, in their entirety, to the “requested” section of Proposal Tracking.

The screenshot shows the Budget Summary interface. On the left is a navigation menu with options: Budget, Period 1, Period 2, Modular Budget, F&A, Cost Sharing, and Justifications. The main content area includes:

- Project Period:** 01-Jun-2019 to 31-May-2021
- Source View:** Sponsor
- Rollup subprojects:** Proposal Structure, Import/Export, Build PDF, Complete Budget (highlighted in yellow)
- Edit Mode** (highlighted in green)

Budget Summary [Hide]

Periods [hide]						Sponsor [show]	Cost Sharing [show]	Project [hide]		
YEAR/PERIOD	INCREMENT	START	END	TYPE	STATUS	TOTAL	TOTAL	DIRECTS	F&A	TOTAL
1	1	01-Jun-2019	31-May-2020	Funding*	Proposed	\$ 41,148	-	-	\$ 41,148	\$ 41,148
2	2	01-Jun-2020	31-May-2021	Funding*	Proposed	42,291	-	-	42,291	42,291
Total:						\$ 83,439	\$ 0	\$ 0	\$ 83,439	\$ 83,439

Subproject Summary [Show]

Details

This section presents an alphabetical list of all the pages, principal sections, and important concepts that are included in the overall category of “grants budgeting.”

Appointments (see Personnel)

Budget Validation

The budget tool will do the basic (and sometimes complex) math for you, while also allowing for manual adjustments when necessary. Various types of validations may exist depending on factors such as the nature of the application (S2S and specific forms) or sponsor (e.g., NIH, NSF). For example, applications using the SF424RR budget forms for electronic submission are required to have a budget justification.

NIH has various budget-related rules, many of which vary by activity type or *mechanism*. For example, most NIH applications require prior approval if any budget period will be equal to or exceed \$500,000. A validation rule exists for this purpose, listed on the Setup Questions screen as well as on a tab in the Budget > Setups screen, documenting this requirement; if prior approval has been received the user can *opt out* of that validation rule and the user will be able to proceed with finalizing the budget when one or more periods is greater than or equal to \$500,000. NIH R21 applications are limited to a project period of two years with a total direct cost limit of \$275,000 and do not allow more than \$200,000 in any single year. These rules are enforced when an R21 application is being developed. The extent of budget-related rules and associated validations varies across NIH activity codes/mechanisms.

The screenshot shows a web interface for budget setup. On the left is a navigation menu with items: Budget (+), Modular Budget, SubAwards (1) (+), F&A, Cost Sharing, Justifications, Versions, and Setup (selected). The main content area is titled 'Setup' and has a 'Show' button. Below this are tabs: GENERAL, PERIOD/DATES, CHANGE PI, BUDGET SOURCES, and MECHANISM OPT IN/OUT. The 'MECHANISM OPT IN/OUT' tab is active, displaying 'Currently Active Program Validations' with 'Opt Out' and 'Refresh Values' buttons. A table lists validation rules with checkboxes:

OPT OUT	MECHANISM VALIDATION
<input type="checkbox"/>	A non-zero value for calendar months, academic months, or summer months is required for each senior/key person.
<input type="checkbox"/>	Introduction cannot be over 1 page for Resubmissions.
<input type="checkbox"/>	Introduction cannot be over 1 page for Revisions.
<input type="checkbox"/>	Introduction is mandatory for Resubmissions/Revisions.
<input type="checkbox"/>	NIH Annual \$500,000 direct cost limit applies to this proposal.
<input type="checkbox"/>	Project period may not exceed 5 years.
<input type="checkbox"/>	Project period may not exceed five Budget Periods.
<input type="checkbox"/>	Research Strategy is required.
<input type="checkbox"/>	Research Strategy page limit: 12 pages
<input type="checkbox"/>	Specific Aims is required and limited to 1 page.

Similarly, NSF has begun implementing automated compliance checks for applications received via Grants.gov. The determination as to which rules are in effect for an NSF proposal is based on the user selection of the *Funding Mechanism* on the NSF Cover Page. Once a value is selected and saved on that form, then relevant validation rules for the application will be displayed on the Setup Questions screen as well as on the Budget > Setup > Mechanism Opt In/Out tab.

To opt out of a specific validation rule, check the associated *Opt Out* box and **SAVE** the screen. That validation rule then will not be enforced.

For other agencies, budget-related validation rules will reflect the rules coded into the Grants.gov forms used in the application (e.g., the SF-424A or SF-424C among others).

Cost Sharing

Cost sharing, including tracking sources and documenting the nature and type of support, is supported in the budget development tool. Users can begin with a project budget amount (e.g., centrifuge costing \$50,000) and break the cost down to request \$30,000 from the sponsor and document \$20,000 support from an institutional account (*breakdown method*). Alternatively, a user might initially build their budget based on sponsor costs, recording a sponsor request for the centrifuge of \$30,000 and then in documenting cost sharing s/he could add the \$20,000 institutional support amount (*build up method*). Cost sharing is recorded item-by-item in the detail popup screens for each item, which can be accessed from the budget summary screen:

Non-Personnel [hide]	CATEGORY	PERIOD 1	PERIOD 2	DIRECT COSTS	
Detail	Supplies	\$ 10,000	\$ 10,300	\$ 20,300	Delete

Alternatively, the person- or item-detail popup can be accessed from the main Cost Sharing screen:

The two locations referenced above both allow users to manage cost sharing for personnel or items across multiple periods in the same window. Cost sharing can also be documented on a period-by-period basis if desired by visiting the cost sharing screen associated with an individual period (if visible):

Cost Sharing

To request cost sharing, you will need to select “yes” on the Data Collection form and provide a justification in this section of the form. Upon selecting “yes” you will be prompted to provide type of cost sharing, the source of cost sharing funds with a drop down list and provide the chartfield string.

FINANCIAL INFORMATION

Yes No * Does project budget request waiver of indirect costs?

Yes No * Is there cost sharing included?

* Type: Mandated by Sponsor Voluntary

* Source of Cost Sharing:

* Chartfield String:

* Enter Cost Share Justification

Go to the budget and complete the budget as previously instructed. For the section that you want to enter the amount for cost sharing, click the detail button.

Non-Personnel [hide]

CATEGORY

Equipment or Facility Rental/User Fees 61006 - MAINTENANCE & OPERATION

Select the cost sharing tab. There are three fields under the cost sharing tab. Sponsor, institution and over the cap/unallowable. The sponsor field lists how much of the costs will be paid by the sponsor. The institution tab is the amount the department/institution will cover from a departmental or institutional chartfield. Over cap/unallowable will list the amount that is unallowable per the sponsor's guidelines (i.e. NIH salary cap). In the example below, 100% of the costs for the budget category has been allocated to the Institution field. Once you've entered this information, save and close.

Non-Personnel Costs

Detail Justifications **Cost Sharing** Show Calculations Save and Close Save Close

COST SHARING BY BREAKDOWN COST SHARING BY BUILD UP + ADD SOURCE

	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5
SPONSOR [Hide]					
Percent	0.000	0.000	0.000	0.000	0.000
Cost	0	0	0	0	0
Type					
Category					
INSTITUTION [Hide]					
Percent	100.000	100.000	100.000	100.000	100.000
Cost	10,000.00	10,000.00	10,000.00	10,000.00	10,000.00
Type					
Category					
OVER CAP/UNALLO [Hide]					

Click on the Cost Sharing tab on the Budget tab. The cost sharing tab will list the budget categories requested and a breakdown of the costs that are budgeted to the sponsor, institution, and over cap/unallowable.

Budget Summary		PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL
Direct Costs:							
	Sponsor	\$ 224,398	\$ 229,398	\$ 229,398	\$ 229,398	\$ 229,398	\$ 1,141,990
	Institution	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 50,000
	Over Cap/Unallo	\$ 2,502	\$ 2,502	\$ 2,502	\$ 2,502	\$ 2,502	\$ 12,510

After completion of the budget tab and other required tabs, go to Uploads and Reviews to select the Budget Review route for approvals.

IDC Waiver

When requesting an IDC waiver, you will need to select "yes" in the Data Collection form and provide a waiver justification in this section of the form.

FINANCIAL INFORMATION

Yes No * Does project budget request waiver of indirect costs?

Enter Waiver Justification

Complete the mandatory tabs including the Budget tab. Complete all of the budget related information. Go to the F&A tab under the budget tab to manually enter the F&A rate you are requesting for the waiver.

- Budget** —
- Period 1 +
- Period 2 +
- Period 3 +
- Period 4 +
- Period 5 +
- F&A** ←

Open the drop down list for rate and choose manual entry. After choosing the manual entry, enter the proposed rate and hit apply for the adjusted F&A rate.

Source View: Sponsor

Calculation rate method
 Prevaling Blended

Scheme ▼

Base MTDC ▼

Rate Manual Entry ▼

Rate 30,000 Effective 30,000

Apply Manual F&A

After the new rate has been applied, the F&A breakdown with the revised F&A breakdown will be listed per year at the bottom of the F&A page.

F&A Breakdown

PERIOD	START DATE	END DATE	INDIRECT COST TYPE	RATE	BASE	AMOUNT
1	01-Sep-2019	31-Aug-2020	MTDC	30,000	265,037	79,511
2	01-Sep-2020	31-Aug-2021	MTDC	30,000	270,037	81,011
3	01-Sep-2021	31-Aug-2022	MTDC	30,000	270,037	81,011
4	01-Sep-2022	31-Aug-2023	MTDC	30,000	270,037	81,011
5	01-Sep-2023	31-Aug-2024	MTDC	30,000	270,037	81,011
Total					\$ 1,345,185	\$ 403,555

After completion of the four tabs, go to Uploads and Reviews to select the Budget Review route for approvals.

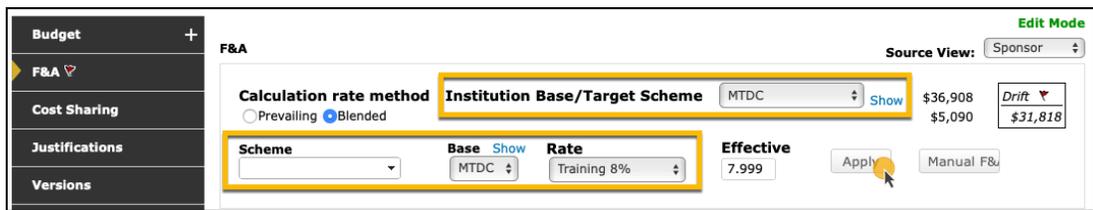
Drift (see F&A)

Effort Periods (see Personnel)

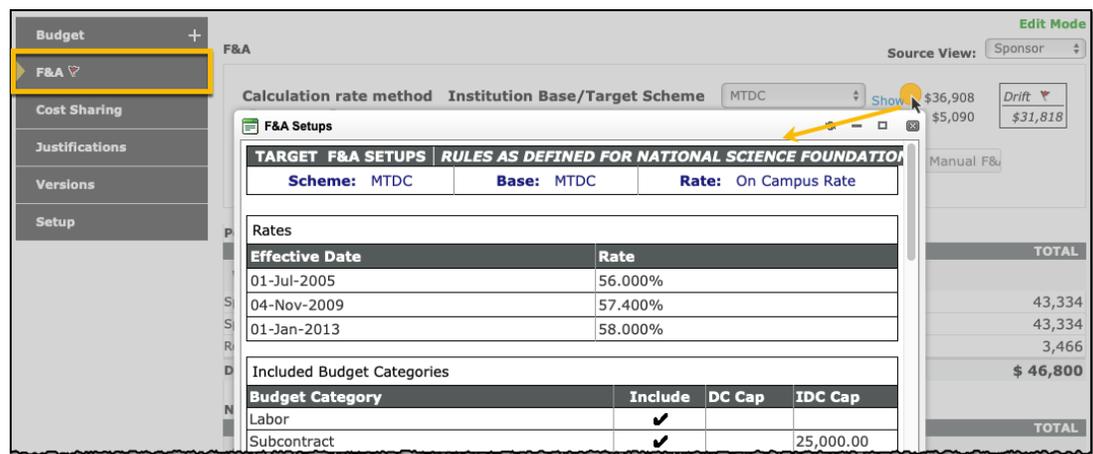
F&A (Facilities and Administrative Costs)

Note: all of these features are available to UTHealth but a number are in use only and only visible by administrators. F&A will generally be automatically calculated for a proposal while developing the budget. Each time a budget item or person is added, the related F&A is calculated taking into account budget categories that are included or excluded in the *base* definition (e.g., MTDC, TDC, etc.). During proposal creation, based on the choices set for Program Type and whether most of the work will be carried out on or off campus, an *Institution Base/Target Scheme* will be established. The same scheme will be set as the one used for proposal calculations. The *target* scheme is typically the institution’s US federally negotiated F&A rate and typically would not be changed by users – in fact, it may be hidden or view only for most users. If adjustments in the

automatic calculations for the proposal need to be made the user can change the Scheme, Base, or Rate options and click APPLY to re-calculate F&A based on updated rules. The difference between the Target Scheme calculated F&A amount and the proposed calculated F&A amount constitutes *drift*, which generally reflects under recovered F&A. When drift exists, a red flag appears on the F&A tab in the navigation to aid in review.



Clicking on the *Show* link associated with either the target or proposal calculation criteria opens a popup demonstrating the relevant rates and base definitions.



The Calculation Rate Method only has an impact when F&A rates vary over the course of the budget period. If the rate changes mid-period, then when 'Prevailing' is selected, the rate at the beginning of the budget period will be used for the entire period's F&A calculation. If 'Blended' is selected, then the different rates will be used during the appropriate time segments to calculate F&A resulting in an effective F&A rate somewhere between the lower and higher rate values. The MANUAL F&A button allows the user to make manual adjustments to either the item-level base or requested F&A or to the period level data in the summary grid at the bottom of the screen. The button may be hidden or not available for use by most users.

Personnel Costs							
	PERIOD 1	PERIOD 2	TOTAL				
Wood, Roger							
Sponsor Directs	21,667	21,667	43,334				
Sponsor F&A Base	21,667	21,667	43,334				
Requested F&A	1,733	1,733	3,466				
Directs & F&A Total	\$ 23,400	\$ 23,400	\$ 46,800				
Non-Personnel Costs							
	PERIOD 1	PERIOD 2	TOTAL				
Supplies							
Sponsor Directs	10,000	10,300	20,300				
Sponsor F&A Base	10,000	10,300	20,300				
Requested F&A	800	824	1,624				
Directs & F&A Total	\$ 10,800	\$ 11,124	\$ 21,924				
	PERIOD 1	PERIOD 2	TOTAL				
Direct Cost Totals	\$ 31,667	\$ 31,967	\$ 63,634				
F&A Base Totals**	\$ 31,667	\$ 31,967	\$ 63,634				
F&A Totals	\$ 2,533	\$ 2,557	\$ 5,090				
Grand Totals	\$ 34,200	\$ 34,524	\$ 68,724				
F&A Breakdown							
PERIOD	START DATE	END DATE	INDIRECT COST TYPE	RATE	BASE	AMOUNT	
1 Detail	01-Jan-2017	31-Dec-2017	MTDC	8.000	31,667	2,533	Clear
2 Detail	01-Jan-2018	31-Dec-2018	MTDC	8.000	31,967	2,557	Clear
Total					\$ 63,634	\$ 5,090	

Fringe Benefits (see Personnel)

Importing/Exporting

External budgets can be imported as subawards and UTHhealth budgets can be exported to be used as subawards by UTHhealth's prime funder. These features are explained in a separate document, the Subaward Import/Export Tool

Indirect Costs (see F&A)

Inflation

In most places, either a pre-defined Inflation Scheme or (if "manual entry" is chosen) a numeric rate can be applied. UTHhealth does not permit inflation to be included on NIH proposal because NIH deducts inflation costs from the proposal budget prior to making an award.

Budget Summary [Hide]

Non-Personnel Costs

Detail Justifications Cost Sharing Show Calculations Save and Close Save Close

Costs by "Budget Period" Annual Inflation Standard Description project analysis

Budget Category Data Processing Services Manual Entry 61006 - MAINTENANCE & OPERATION

PERIOD	START DATE	END DATE	TOTAL	
1	01-Jun-2019	31-May-20	14,000	Remove
2	01-Jun-2020	31-May-20	14,420	Remove
<i>Auto-calculated Inflation</i>				
Total			\$ 28,420	

Non-Personnel Costs

Detail Justifications Cost Sharing Show Calculations Save and Close Save Close

Costs by "Budget Period" Annual Inflation *Manual Entry* Description project analysis

Budget Category Data Processing Services Object Code 61006 - MAINTENANCE & OPERATION

PERIOD	START DATE	END DATE	TOTAL
1	01-Jun-2019	31-May-2020	14,000
2	01-Jun-2020	31-May-2021	14,420
Total			\$ 28,420

3.00% Remove Remove

Justifications

In addition to the Upload Form approach described in *The Basics: Entering Justifications*, an alternate approach is to click the SHOW button (red) on the Justifications page to access the Use Database option. (You may not have access to the SHOW button but your department administrator might.)

Budget +

Modular Budget

F&A

Cost Sharing

Justifications

Versions

Setup

Justifications Show

UPLOAD "PERSONNEL JUSTIFICATION" DOCUMENTATION

Choose File No file chosen Upload

UPLOAD "CONSORTIUM JUSTIFICATION" DOCUMENTATION

Choose File No file chosen Upload

UPLOAD "ADDITIONAL NARRATIVE JUSTIFICATION" DOCUMENTATION

Choose File No file chosen Upload

When using this option, you can key (or paste) your justifications directly into the appropriate box.

Justifications

Upload Form
 Use Database

PERSONNEL	SUBAWARDS

ADDITIONAL NARRATIVE

Personnel

NAME	JUSTIFICATION	MOVE TO BUDGET JUSTIFICATION
InfoEd Admin (PD/PI 50%)	will be responsible for tiam sit amet leo auctor, suscipit felis vel, gravida sem. Proin in.	<input type="checkbox"/>

An extension of using this approach is that you can record line-by-line justifications on individual budget lines as you enter the budget numbers (green for personnel budget lines, blue for non-personnel budget lines) to record justifications on a line-by-line basis. (On the personnel budget, clicking the AUTOFill button in yellow will populate the justification with the person's name, role, effort, and a few introductory words, shown here in yellow.)

Budget Detail for: Admin, InfoEd

Budget Justification

InfoEd Admin (PD/PI 50%) will be responsible for tiam sit amet leo auctor, suscipit felis vel, gravida sem. Proin in.

Non-Personnel Costs

Budget Justification

ADP will be used to ipsum dolor sit amet, consectetur adipiscing elit.

When such line-level justifications are entered, they can be used as sources for the Use Database option just described, either by copy-and-paste or by clicking the checkboxes (blue) to move the text directly.

Use Database

PERSONNEL		SUBAWARDS	
ADDITIONAL NARRATIVE			
Personnel			MOVE TO BUDGET JUSTIFICATION
NAME	JUSTIFICATION		
Admin, InfoEd	InfoEd Admin (PD/PI 50%) will be responsible for tiam sit amet leo auctor, suscipit felis vel, gravida sem. Proin in.	<input type="checkbox"/>	
Non-Personnel			MOVE TO BUDGET JUSTIFICATION
NAME	JUSTIFICATION		
project analysis	ADP will be used to ipsum dolor sit amet, consectetur adipiscing elit.	<input type="checkbox"/>	
Modular Budget Transaction		<input type="checkbox"/>	
SUBAWARDS			
NAME	JUSTIFICATION	MOVE TO SUBAWARDS JUSTIFICATION	
No records to display.			

Mechanism Opt In/Out (see Budget Validation)

Mode (Edit, View)

In order to be able to edit a given page, it must be in Edit Mode.

Done Save

Proposal

Budget

Project Period: 01-Sep-2018 to 31-Aug-2020

Source View: Sponsor

Rollup subprojects: Not Rollup

Proposal Structure Import/Export Complete Budget

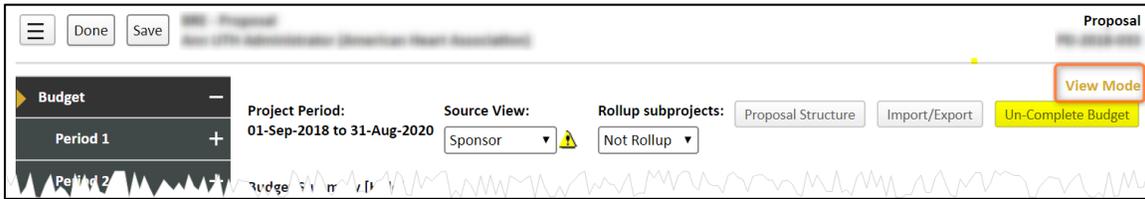
Period 1

Period 2

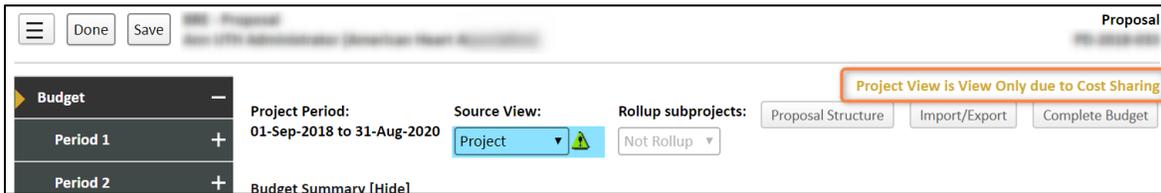
Project Summary

Edit Mode

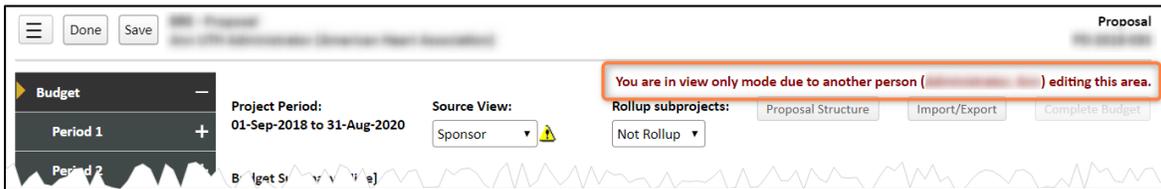
There are a variety of reasons why a page might be in View Mode: a completed budget,



... having a Source View (see *Details > Source View*) of “Project” when there is cost share or over-the-cap budgeting (warning icon in blue).



... or attempting to access a page on which another user has “edit” rights...



...can all put you into View Mode.

Modular Budgets

InfoEd supports building budgets for an NIH application using a modular budget format with as much or as little budget detail information as required by UTHealth policy. If UTHealth allows you to simply set the number of modules and include no further budget detail, the tool will handle that; if your institution requires a full detailed budget reflecting the modular requested amounts for each period that is also supported. This variation is supported through a feature called the *Modular Budget Transaction*, which is displayed in the summary section at the bottom of the budget summary screen.

Personnel [Hide]								
	NAME	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	DIRECT COSTS	
Detail	Wood, Roger PD/PI	\$ 21,667	\$ 21,667	\$ 21,667	\$ 21,667	\$ 21,667	\$ 108,335	
Subtotal Personnel:		\$ 21,667	\$ 108,335					
Non-Personnel [hide]								
	CATEGORY	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	DIRECT COSTS	
Detail	Purchased Equipment	\$ 25,000	-	-	-	-	\$ 25,000	
Subtotal Non-Personnel:		\$ 25,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 25,000	
SubAwards [Hide]								
	INST/CONTRACTOR NAME	SHORT FORM	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	DIRECT COSTS
Detail	Impressive University		\$ 70,701	\$ 70,701	\$ 70,701	\$ 70,701	\$ 70,701	\$ 353,505
Subtotal SubAwards:			\$ 70,701	\$ 353,505				
Subprojects [show]								
		PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL COSTS	
Modular Budget Transaction:		\$ 159,958	\$ 184,958	\$ 184,958	\$ 184,958	\$ 184,958	\$ 899,790	
Total Sponsor Direct Costs:		\$ 277,326	\$ 277,326	\$ 277,326	\$ 277,326	\$ 277,326	\$ 1,386,630	
Sponsor F&A:		119,843	119,843	119,843	119,843	119,843	599,215	
Total Sponsor Costs:		\$ 397,169	\$ 1,985,845					

If you have selected 8 modules, then initially the modular budget transaction will display \$200,000 (8x\$25,000) for each period. As you record detailed budget data (e.g., personnel or non-personnel costs, subawards, etc.) those costs are deducted from the \$200,000 modular amount reflected in the modular budget transaction. The modular budget transaction amount floats, varying with the direct costs you enter onto the budget and reflecting the difference between the detail costs you record and the modular amount that will be requested. The detailed budget data (and the modular budget transaction value) will be retained locally but not submitted to NIH with the application.

The Modular Budget screen allows users to set the number of modules to be requested for each budget period. A configuration exists to default the number of modules to zero or 10 initially, however users can then make manual adjustments on this screen when appropriate. As described above, the number of modules set here defines the maximum amount of the modular budget transaction on the budget summary screen.

Budget +							View Mode
Modular Budget							
Directs							
	YEAR	DIRECTS LESS SUB CONT F&A		MODULES	AMOUNT	GAP	
SubAwards (1) +	1	\$ 90,042.00		10 ↓	\$ 250,000.00	\$ -159,958.00	
F&A	2	\$ 65,042.00		10 ↓	\$ 250,000.00	\$ -184,958.00	
Cost Sharing	3	\$ 65,042.00		10 ↓	\$ 250,000.00	\$ -184,958.00	
Justifications	4	\$ 65,042.00		10 ↓	\$ 250,000.00	\$ -184,958.00	
Versions	5	\$ 65,042.00		10 ↓	\$ 250,000.00	\$ -184,958.00	
		\$ 350,210.00		50	\$ 1,250,000.00	\$ -899,790.00	

Multi Project:

Budget for each Component (see 'Component' section below) for each year must be entered.

Component for Institution # P000446
Alex Investigator (National Institute of Allergy & Infectious Diseases/NIH/DHHS (NIAD))

Project Period: 2020 to 31-Dec-2024

Source View: Sponsor

Proposal Structure | Import/Export | Build PDF | Complete Budget | Edit Mode

YEAR/PERIOD	INCREMENT	Periods [hide]					TYPE	STATUS	Sponsor [show]		Cost Sharing [show]		Project [hide]	
		START	END	TOTAL	TOTAL	DIRECTS			F&A	TOTAL				
1	1	01-Jan-2020	31-Dec-2020	Funding*	Proposed	-	-	-	-	-	-	-	-	
2	2	01-Jan-2021	31-Dec-2021	Funding*	Proposed	-	-	-	-	-	-	-	-	
3	3	01-Jan-2022	31-Dec-2022	Funding*	Proposed	-	-	-	-	-	-	-	-	
4	4	01-Jan-2023	31-Dec-2023	Funding*	Proposed	-	-	-	-	-	-	-	-	
5	5	01-Jan-2024	31-Dec-2024	Funding*	Proposed	-	-	-	-	-	-	-	-	
Total:								\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	

Subproject Summary (Show)

Personnel [hide]

NAME	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	DIRECT COSTS
Investigator, Alex SubProject PI	-	-	-	-	-	\$ 0
Subtotal Personnel:	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

Non-Personnel [hide]

CATEGORY	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	DIRECT COSTS
Subtotal Non-Personnel:	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

SubAwards [show]

	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL COSTS
Total Sponsor Direct Costs:	-	-	-	-	-	\$ 0
Sponsor F&A:	0	0	0	0	0	0
Total Sponsor Costs:	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

Subprojects within subprojects (Projects or subcontracts within each Core, for instance) can also be created to roll up to the main grant budget – click on ‘Show’ to find the ‘Add Subaward’ option:

SubAwards [show]

	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL COSTS
Total Sponsor Direct Costs:	-	-	-	-	-	\$ 0
Sponsor F&A:	0	0	0	0	0	0
Total Sponsor Costs:	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

SubAwards [hide]

INST/CONTRACTOR NAME	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	DIRECT COSTS
Subtotal SubAwards:	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

SubAwards [show]

	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL COSTS
Total Sponsor Direct Costs:	-	-	-	-	-	\$ 0
Sponsor F&A:	0	0	0	0	0	0
Total Sponsor Costs:	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

If a sub-project starts in later years, all previous years’ budgets must be zero. Enter each field to complete the subaward, including the subaward periods:

University of Texas MD Anderson Cancer Center (MDACC)

SubAward Number: 0000000099

SubAward Title: SubAward for Institution # Project Core

Add To

- Budget Period 1
- Budget Period 2
- Budget Period 3
- Budget Period 4
- Budget Period 5

Save Close

Click on the subaward on the left tabs to complete the subaward budget and to add budget justification:

Component for Institution # P00046
Alex Investigator (National Institute of Allergy & Infectious Diseases/NIAID)

Project Period: 01-Jan-2020 to 31-Dec-2024

Source View: Sponsor

Period 1: 1 0 01-Jan-2020 31-Dec-2020 Funding* Proposed

Period 2: 2 0 01-Jan-2021 31-Dec-2021 Funding* Proposed

Period 3: 3 0 01-Jan-2022 31-Dec-2022 Funding* Proposed

Period 4: 4 0 01-Jan-2023 31-Dec-2023 Funding* Proposed

Period 5: 5 0 01-Jan-2024 31-Dec-2024 Funding* Proposed

SubAwards (1): University of Texas MD Anderson Cancer Center (MDACC)

SubProject Summary (None)

Personnel (None)

Non-Personnel (None)

Exclosures

F&A

Cost Sharing

Justifications

Versions

Setup

YEAR/PERIOD	INCREMENT	START	END	TYPE	STATUS	TOTAL	TOTAL	DIRECTS	F&A	TOTAL
1	0	01-Jan-2020	31-Dec-2020	Funding*	Proposed	-	-	-	-	-
2	0	01-Jan-2021	31-Dec-2021	Funding*	Proposed	-	-	-	-	-
3	0	01-Jan-2022	31-Dec-2022	Funding*	Proposed	-	-	-	-	-
4	0	01-Jan-2023	31-Dec-2023	Funding*	Proposed	-	-	-	-	-
5	0	01-Jan-2024	31-Dec-2024	Funding*	Proposed	-	-	-	-	-
Total:						\$0	\$0	\$0	\$0	\$0

Complete the F&A section for each of the Components and its subawards, if applicable:

Component for Institution # P00046
Alex Investigator (National Institute of Allergy & Infectious Diseases/NIAID)

Source View: Sponsor

F&A

Calculation rate method: Prevaling Blended

Institution Base/Target Scheme: Federal Research

Base: MTDC

Rate: Federal Negotiated Rate

Effective:

Apply Manual F&A

Personnel Costs

	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL
Investigator, Alex (E1001 - FACULTY SALARIES)						
Sponsor Directs	0	0	0	0	0	0
Sponsor F&A Base	0	0	0	0	0	0
Requested F&A	0	0	0	0	0	0
Directs & F&A Total	\$0	\$0	\$0	\$0	\$0	\$0

SubAwards

	PERIOD 1	PERIOD 2	PERIOD 3	PERIOD 4	PERIOD 5	TOTAL
University of Texas MD Anderson Cancer Center (MDACC) (E1014 - RESEARCH SUBCONTRACT F-PAYMENTS)						
Sponsor Directs	0	0	0	0	0	0
Sponsor F&A Base	0	0	0	0	0	0
Requested F&A	0	0	0	0	0	0
Directs & F&A Total	\$0	\$0	\$0	\$0	\$0	\$0

Direct Cost Totals: \$0

F&A Base Totals: \$0

F&A Totals: \$0

Grand Totals: \$0

F&A Breakdown

If there is a subaward within the subaward, it can be added to the first subaward's budget:

Component for Institution # P00046
Alex Investigator (National Institute of Allergy & Infectious Diseases/NIAID)

Requested Period 1

Personnel Costs

NAME	ROLE	BASE SALARY	CALENDAR	ACADEMIC	SUMMER	SALARY	FRINGE BENEFITS	TOTAL
MDA PI, Roscoe	SubAward PI	0.00	0.00	0.00	0.00	0	0	\$0
Total								\$0

Non-Personnel Costs

Exclosures

F&A

Cost Sharing

Justifications

Versions

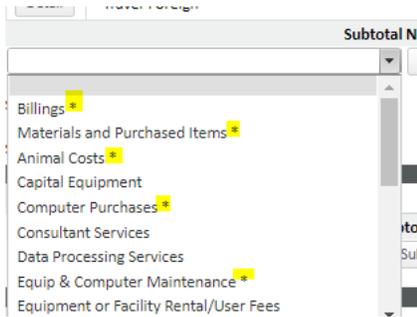
Setup

Add SubAward

Complete the budget for each component by adding needed personnel and other costs as usual.

Non-Personnel Budgets

When entering Non-Personnel budgets, you may notice that some categories are suffixed with asterisks.



These only appear when your proposal is to be submitted to Grants.Gov, and only in Proposal Development. The asterisked categories are those that do not explicitly map to the projected electronic budget form and the number of them that can be selected may therefore be restricted. For example, the R&R budget form allows a maximum of three asterisked categories, which then appear as lines 8, 9, and 10 of section F.

ORGANIZATIONAL DUNS*: 8007715940000
 Budget Type*: Project Subaward/Consortium
 Organization: The University of Texas Health Science Center at Houston
 Start Date*: 07-01-2019 End Date*: 06-30-2020 Budget Period: 1

F. Other Direct Costs	Funds Requested (\$)*
1. Materials and Supplies	1,000.00
2. Publication Costs	1,000.00
3. Consultant Services	1,000.00
4. ADP/Computer Services	
5. Subawards/Consortium/Contractual Costs	
6. Equipment or Facility Rental/User Fees	1,000.00
7. Alterations and Renovations	
8. Materials and Purchased Items	1,000.00
9. Animal Costs	1,000.00
10. Billings	1,000.00
Total Other Direct Costs	7,000.00

G. Direct Costs	Funds Requested (\$)*
Total Direct Costs (A thru F)	105,000.00

Otherwise, the *Basics* section covers most of the instructions relating to Non-Personnel budgets. Most of what remains is addressed in the *Justifications*, *Cost Sharing*, and *Show Calculations* entries in this *Details* section.

Non-Personnel Costs

Detail Justifications Cost Sharing Show Calculations Save and Close Save Close

Costs by "Budget Period" Annual Inflation Standard Description project analysis

Budget Category Data Processing Services Object Code 61006 - MAINTENANCE & OPERATION

PERIOD	START DATE	END DATE	TOTAL
1	01-Jun-2019	31-May-2020	14,000 Remove
2	01-Jun-2020	31-May-2021	Auto-calculated Inflation 14,420 Remove
Total			\$ 28,420

Personnel Budgets

The personnel budget section will include anyone listed on the separate Personnel tab that has a role that can be budgeted. For example, “other significant contributors” listed on the Personnel tab proper will not appear in the personnel area of the budget. Conversely, a person added to the personnel budget section will also appear on the separate Personnel tab. That is, people *can* be added in either place (although non-budgeted people *should* be added directly to the Personnel tab). Otherwise, the *Basics* section covers most of the instructions relating to Non-Personnel budgets.

Source View

The *project* source view shows all budgets from all sources, *sponsor* shows only the budget to be requested from the sponsor, *institution* (there may be multiple of these) shows only the budget to be used from a source other than the sponsor (“cost shared”), and *over cap/unallowed* shows only the budget disallowed by the sponsor and not eligible for “true” cost sharing.

You can generally enter budgets in any of the views. The biggest exception to this is when any cost sharing or over-the-cap budgets exist. When that happens, *project* source view is view-only and you must continue any budgeting in either the *sponsor* or *institution* views.

The screenshot shows a web interface for budgeting. At the top, there are three main sections: 'Project Period: 01-Jun-2019 to 31-May-2021', 'Source View:' with a dropdown menu, and 'Rollup' with a 'Not R...' button. The dropdown menu is open, showing options: 'Sponsor', 'Project', 'Sponsor' (highlighted in blue), 'Institution', and 'Over Cap/Unallowed'. Below the menu is a 'Budget Summary [Hide]' section. At the bottom, there is a table with the following data:

YEAR/PERIOD	INCREMENT	START	END	TYPE
1	1	01-Jun-2019	31-May-2020	Fundi
2	2	01-Jun-2020	31-May-2021	Fundi